

Shri Swami Vivekanand Shikshan Sanstha, Kolhapur's

Vivekanand College, Kolhapur

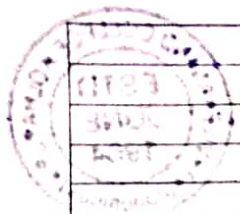
Department of Economics &

Department of Commerce



Certificate Course in  
**Securities Markets and Investment Management**  
**Syllabus**

Syllabus	
<b>Module I</b>	<b>Introduction to Financial Markets</b>
1	Financial Institutions & Markets
2	Capital Markets: Primary & Secondary Markets
3	Types of capital markets and their functioning, Debt markets, Commodity and Currency Markets, Global Financial Markets
4	Financial Services and Regulation, Securities Market Regulations
<b>Module II</b>	<b>Macro Economics for Securities Markets and Investment Decisions (Basic Level)</b>
1	Macro-Economic factors : GDP, GNP, BoP, Fiscal Deficit, Current Account Deficit, FDI and FII Investment in India
2	Time Value of Money : CAGR, Yield, Annuity, Valuation of Bond
3	The concept of Inflation, Interest rate, and Exchange rate and Forex market
4	Working of SEBI
<b>Module III</b>	<b>Investment Management</b>
1	Investment portfolio, introduction to investment risks and returns.
2	Fixed Income Instruments-securities and deposits, Insurance based investment
3	Mutual Funds, Equity shares
4	Portfolio Management
<b>Module IV</b>	<b>Fundamental and Technical Analysis -I</b>
1	Market Analysis and introduction to market strategies : Market timings, Securities selection, maturity selection and Buy/Hold
2	Technical Analysis - Securities Analysis & Equity Valuation
3	Industry Analysis : Understanding IIP numbers and Industry Life Cycle and Porter's competitive advantage model
4	Company Analysis : Basic Ratios - Liquidity Ratios, Activity Ratios, Performance Ratios and Solvency Ratios, Basic Concepts of Du Pont Analysis and its decomposition
<b>Module V</b>	<b>Fundamental and Technical Analysis -II</b>
1	Relative Valuation: Price To Earnings ratios, Price to Book Value ratios, EV/EBIDTA, Price to Sales ratio.
2	Technical Analysis-Parameters: Difference between FA and TA, Strength and Weakness, Understanding Line Chart, Bar Chart and Candle stick Charts, Support and Resistance, Traders Remorse, Dow Theory. Concept of Moving Averages
3	Technical Analysis- Indicators: Head and shoulder, Cup and Handle, Double Top and Double Bottom, Flags and Penants, Relative Strength Index, Oscillators and Breadth Indicators
4	Introduction to Derivatives Market: Future and Option (F&O)
5	Career options in securities market and introduction to NISM certifications



EVALUATION		
	A. Module Tests	100
	B. Practical Evaluation	100
	C. Final Written Examination	100
	<b>Total</b>	<b>300</b>
<b>A</b>	<b>Module Tests</b>	
	Module I	20 Marks
	Module II	20 Marks
	Module III	20 Marks
	Module IV	20 Marks
	Module v	20 Marks
	<b>Total</b>	<b>100 Marks</b>
<b>B</b>	<b>Practical Evaluation</b>	
1	Company Analysis : Calculation of Ratios	20 Marks
2	Industry Analysis (5 Marks) and Market study (5 Marks)	20 Marks
3	Paper Trading (5 Marks) and Virtual Trading (5 Marks)	20 Marks
4	Project Report	40 Marks
	<b>Total</b>	<b>100 Marks</b>
<b>C</b>	<b>Final Written Examination</b>	
	3 hours	<b>Total Marks : 100</b>

Shri Swami Vivekanand Shikshan Sanstha, Kolhapur's

**Vivekanand College, Kolhapur**

Department of Economics &

Department of Commerce



**Certificate Course in  
Securities Markets and Investment Management**

First Batch Year 2018-19

**Details of Lectures Conducted**

Sr. No.	Date	Time	Venue	Topic	Teacher/s
1	23/8/2018	10.45.to 11.30	Room 13	Introduction to the Course	<b>Dr. M. V. Charankar</b> Dr. S. G. Gavade Mr. S. S. Kale Ms. Aishwarya Kulkarni
2	24/8/2018	10.45.to 11.30	Room 41	Introduction to Share Market	Ms. Aishwarya Kulkarni
3	25/8/2018	10.45.to 11.30	Room 41	Introduction to Financial Markets	Ms. Aishwarya Kulkarni
4	25/8/2018	11.30 to 12.45	Room 41	Factors affecting share market	Ms. Aishwarya Kulkarni
5	30/8/2018	10.45.to 11.30	BCA Lab	Introduction to Online Course: Moodles and MOOC	<b>Dr. S. G. Gavade</b>
6	31/8/2018	10.45.to 11.30	Room 41	Different Concepts of Stock Market - I	Ms. Aishwarya Kulkarni
7	01/9/2018	10.45.to 11.30	Room 41	Different Concepts of Stock Market - II	Ms. Aishwarya Kulkarni
8	01/9/2018	11.30 to 12.45	Room 41	Investment Management : Overview	Ms. Aishwarya Kulkarni
9	07/9/2018	10.45.to 11.30	Room 30	Investment portfolio, introduction to investment risks and returns.	Ms. Aishwarya Kulkarni
10	08/9/2018	10.45.to 11.30	Room 30	Fixed Income Instruments- securities and deposits, Insurance based investment	Ms. Aishwarya Kulkarni
11	08/9/2018	11.30 to 12.45	Room 30	Investment Tools : Mutual Funds & SIP	Ms. Aishwarya Kulkarni
12	14/9/2018	10.45.to 11.30	Room 30	Investment Tools : Equity shares & Preference Shares. Debt Market (Special Lecture)	<b>Mr. Rohan Gaikwad</b>
13	15/9/2018	10.45.to 11.30	Room 30	Investment Tools : Insurance	Ms. Aishwarya Kulkarni
14	15/9/2018	11.30 to 12.45	Room 30	Investment Tools : Non-convertible Debentures	Ms. Aishwarya Kulkarni

				(NCDs)	
15	21/9/2018	10.45.to 11.30	Room 30	Investment Tools : Bonds	Ms. Aishwarya Kulkarni
16	22/9/2018	10.45.to 11.30	Room 30	Portfolio Management : Elements & Objectives	Ms. Aishwarya Kulkarni
17	22/9/2018	11.30 to 12.45	Room 30	Security analysis	Ms. Aishwarya Kulkarni
18	28/9/2018	10.45.to 11.30	Room 30	Portfolio Selection	Ms. Aishwarya Kulkarni
19	29/9/2018	10.45.to 11.30	Room 30	Portfolio Revision	Ms. Aishwarya Kulkarni
20	29/9/2018	11.30 to 12.45	Room 30	Portfolio Evaluation	Ms. Aishwarya Kulkarni
21	05/10/2018	10.45.to 11.30	Room 30	Risk and Calculation	Ms. Aishwarya Kulkarni
22	06/10/2018	10.45.to 11.30	Room 30	Financial Services and Regulation - I	Ms. Aishwarya Kulkarni
23	06/10/2018	11.30 to 12.45	Room 30	Financial Services and Regulation - II	Ms. Aishwarya Kulkarni
24	12/10/2018	10.45.to 11.30	Room 30	Regulatory Institutions in India : RBI, SEBI, IRDA - I	Mr. S. S. Kale
25	13/10/2018	10.45.to 11.30	Room 30	Regulatory Institutions in India : RBI, SEBI, IRDA - II	Mr. S. S. Kale
26	13/10/2018	11.30 to 12.45	Room 30	Working of SEBI	Dr. M. V. Charankar
27	19/10/2018	10.45.to 11.30	Room 30	Securities Market Regulations & SEBI - I	Ms. Aishwarya Kulkarni
28	20/10/2018	10.45.to 11.30	Room 30	Securities Market Regulations & SEBI - II	Ms. Aishwarya Kulkarni
29	20/10/2018	11.30 to 12.45	Room 30	Concluding on Term I and Students Feedback	Dr. S. G. Gavade Mr. S. S. Kale Ms. Aishwarya Kulkarni



*Gavade*

Dr. S. G. Gavade  
Coordinator

*S.S. Kale*

Prof. S. S. Kale  
Coordinator

*M. V. Charankar*

Dr. M. V. Charankar  
Co-coordinator

*S. Y. Hongekar*

Dr. S. Y. Hongekar

Principal  
**PRINCIPAL**  
Vivekanand College  
Kolhapur.





'Education for Knowledge, Science & Culture'  
 aharshi Dr. BapujiSalunkhe

Shri Swami Vivekanand Shikshan Sanstha's  
**Vivekanand College Kolhapur**



**Department of Commerce and Economics**

**Certificate Course in Securities Markets and Investment**

**Management**

Year 2018-19

Admission form



1. Name of the student:- KALAWANT MUBINA AMIT  
 (in capital letters)
2. Class- B.COM III (Eng.med) Roll no-.....
3. Fathers name:- KALAWANT AMIT 4. Mothers Name:- SAMIRA  
BHALCHANDRA
5. Full Address:-.....  
Rajaramnagar 6<sup>th</sup> lane, tokala, Sundaram app.,  
flat No. 102, Kolhapur- 416008
6. Contact No. (Mobile No.): - 9881520777 7. PAN Card No. :-.....
8. Email ID :- mubina.ak@gmail.com 9. Aadhar No. :- 5570 8960 0811
10. Educational Qualification :- ( Including Extra Skills)

Sr. No.	Course	Board & University	Year of Passing	Marks (%)
1	SSC	Kolhapur	2014	86.80%
2	HSC	Kolhapur	2016	91.23%
3	B.COM I	Shivaji University		85.33%
4	B.COM II	Shivaji University		81.00%
5				

11. Do you have a previous Knowledge / Skills regarding Securities Markets & Investment Management?

12. Declaration by Student :

I am Mubina Amit Kellowant Student in B.COM III (Class) of Vivekanand College, Kolhapur (college name) declare that, I have read the rules related to admission and the information filled in by me and this form is accurate and true to the best of my knowledge.

Place : Kolhapur

Date : 7-8-18

Signature of Student : M.A. Kellowant

13. Declaration by Guardian / Parents:-

I have permitted my son/ daughter/ ward to join your college. The information supplied by him or her is correct to the best of my knowledge. I have acquainted myself with the rules and fees, dues to my son/daughter/ward and see that he/she observes.

Place : Kolhapur

Date : 7-8-18

Signature of Guardian/ Parent : Amit

Shri Swami Vivekanand Shikshan Sanstha, Kolhapur's

**Vivekanand College, Kolhapur**

Department of Economics &

Department of Commerce




**Certificate Course in  
Securities Markets and Investment Management**

First Batch Year 2018-19

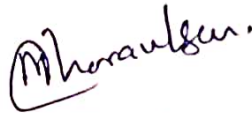
**Course Fee Collection**


Sr. No.	Name	Class	Amount
1	Mubina Amit Kalawant	B. Com. III	750
2	Priyanka Madanlal Purohit	B. Com. III	750
3	Shivani Rajendra Shingade	B. Com. III	750
4	Deeplaxmi Jitendra Shinde	B. Com. III	750
5	Anuradha Balaso Patil	B. Com. III	750
6	Monali Sanjay Powar	B. Com. III	750
7	Anuradha Dattatray Dhamane	B. Com. III	750
8	Prathamesh Prashant Gavali	B. Com. I	750
9	Pradyumn Sanjay Gat	B. Com. I	750
10	Datta Balappa Topalakatti	B. Com. I	750
11	Siddhesh Ravindra Patil	B. Com. I	750
12	Abhijeet Bajirao Musale	B. Com. I	750
13	Sourabh Kundlik Patil	B. Com. I	750
14	Vedang Maitreya Bhagwat	B. Com. I	750
15	Prasad Sunil Desai	B. Com. I	750
16	Saurabh Krushnat Gadave	B. Com. I	750
17	Mukund Prakash Kamble	B. Com. I	750
18	Faijan Salim Desai	B. Com. I	750
19	Shweta Sanjay More	B. Com. I	750
20	Sanket Pramod Sannake	B. Com. I	750
21	Rutuja Santosh Sakhalkar	B. Com. I	750
22	Shivani Sandip Shete	B. Com. I	750
23	Harshada Kiran Tagle	B. Com. I	750
24	Aditya Santosh Sharma	B. Com. I	750
25	Tohid Yasin Gavandi	B. Com. I	750
26	Komal Tulsiram Aswani	B. Com. I	750
27	Mayuri Chandrashekhar Kulkarni	B. Com. I	750
28	Shashank Chandrashekhar Shinde	B. Com. I	750
29	Aishwarya Anand Bhingarde	B. Com. II	750
30	Shreeya Sudhir Desai	B. Com. I	750
31	Aditi Deepak Patil	B. Com. I	750
32	Drashti Bharat Shah	B. Com. I	750
33	Simran Mansur Mahabri	B. Com. I	750
34	Shweta Jagannath Mohite	B. Com. I	750

35	Manasi Sanjay Maniyar	B. Com. I	750
36	Akash Mahesh Naik	B. Com. I	750
37	Datta Balu Lohar	B. Com. I	750
38	Nishant Arun Deshpande	B. Com. II	750
39	Namit Sunil Agarwal	B. Com. II	750
40	Rutvik Pravin Solanki	B. Com. II	750
41	Adhiraj Santosh Desai	B. Com. III	750
42	Prathmesh Tanaji Shinde	B. Com. III	750
43	Rohit Ankush Gavali	B. Com. III	750
44	Niranjan Sanjay Kamble	B. Com. III	750
45	Adinath Babasaheb Barale	B. Com. III	750
46	Vishuv Sunilkumar Kamble	B. Com. III	750
47	Amir Salim Mahat	B. Com. III	750
48	Vaibhav Jeevan Patil	B. Com. III	750
49	Ratnadeep Ramesh Kolhapure	B. Com. III	750
50	Prajwal Raju Padle	B. Com. I	750
	<b>Total</b>		<b>37500</b>

  
Dr. S. G. Gavade  
Coordinator

  
Prof. S. S. Kale  
Coordinator

  
Dr. M. V. Charankar  
Co-coordinator

  
Dr. S. Y. Hongekar  
**PRINCIPAL**  
Vivekanand College  
Kolhapur.





Shri Swami Vivekanand Shikshan Sanstha, Kolhapur's

**Vivekanand College, Kolhapur**

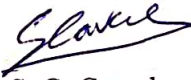
Department of Economics &  
Department of Commerce

**Certificate Course in  
Securities Markets and Investment Management**

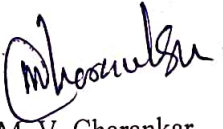
First Batch Year 2018-19


**Remuneration Chart**

Sr. No.	Name	Lectures	Total Amount (@ Rs. 400 / Lecture)
1	Ms. Aishwarya Kulkarni	22	8800
2	Dr. M. V. Charankar	2	800
3	Dr. S. G. Gavade	2	800
4	Mr. S. S. Kale	2	800
5	Mr. Rohan Gaikwad	1	400
	<b>Total</b>	<b>29</b>	<b>11600</b>

  
Dr. S. G. Gavade  
Coordinator

  
Prof. S. S. Kale  
Coordinator

  
Dr. M. V. Charankar  
Co-coordinator

  
Dr. S. Y. Hongekar  
**PRINCIPAL**  
Vivekanand College  
Kolhapur



Shri Swami Vivekanand Shikshan Sanstha, Kolhapur's

## Vivekanand College, Kolhapur

Department of Economics and  
Department of Commerce

Certificate Course in  
Securities Markets and Investment Management


### Budget of the Course

Revenue and Expenditure			
Revenue	Amount (Rs.)	Expenditure	Amount
Course Fee (50 x Rs.750)	37,500	Honorarium	15,236
Form fees (59 x Rs.10)	590	Contingency and Examination	22,854
<b>Total</b>	<b>38,090</b>	<b>Total</b>	<b>38,090</b>

Dr. S. Y. Hongekar  
Principal


Coordinators

  
Dr. S. G. Gavade

  
Prof. S. S. Kale

Co-coordinators

  
Dr. M. V. Charankar

  
Ms. M. M. Purohit

